

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Christoph Andreas ROTH and Harry T. HALL, IV

Application No.: 09/978,002

Group Art Unit: 3732

Filed: October 17, 2001

Examiner: To Be Assigned

For: BONE FIXATION SYSTEM

Attorney Docket No.: 8932-266

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

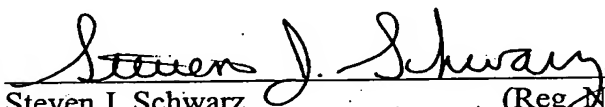
Sir:

Pursuant to Applicants' duty of disclosure under 37 C.F.R. §§ 1.97 and 1.98, enclosed is a Form PTO-1449, which lists 56 references in reverse chronological order. Applicants hereby invite the Examiner's attention to the references listed on the enclosed Form PTO-1449.

As this statement is being submitted before the first Office Action or within three months of the filing date, no fee is believed to be due. Should any fees be required, however, please charge such fees to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

January 2, 2002

  
Steven J. Schwarz (Reg. No. 47,070)  
For: Brian M. Rothery (Reg. No. 35,340)

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Enclosures

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

8932-266-999

APPLICATION NO.

09/978,002

APPLICANT

Christoph Andreas ROTH and Harry T. HALL, IV

FILING DATE

October 17, 2001

GROUP

3732

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,976,139	11/2/99	Bramlet	606	066	
	AB	5,928,235	7/27/99	Friedl	606	064	
	AC	5,908,422	6/1/99	Bresina	606	067	
	AD	5,772,662	6/30/98	Chapman <i>et al.</i>	606	069	
	AE	5,741,256	4/21/98	Bresina	606	062	
	AF	5,728,099	3/17/98	Tellman <i>et al.</i>	606	065	
	AG	5,713,902	2/3/98	Friedl	606	064	
	AH	5,658,339	8/19/97	Tronzo <i>et al.</i>	623	018	
	AI	5,591,168	1/7/97	Judet <i>et al.</i>	606	065	
	AJ	5,578,035	11/26/96	Lin	606	068	
	AK	5,484,439	1/16/96	Olson <i>et al.</i>	606	065	
	AL	5,454,813	10/3/95	Lawes	606	062	
	AM	5,364,398	11/15/94	Chapman <i>et al.</i>	606	069	
	AN	5,312,406	5/17/94	Brumfield	606	064	
	AO	5,300,074	4/5/94	Frigg	128	067	
	AP	5,176,681	1/5/93	Lawes <i>et al.</i>	606	064	
	AQ	5,167,663	12/1/92	Brumfield	606	064	
	AR	5,041,114	8/20/91	Chapman <i>et al.</i>	606	062	
	AS	5,032,125	7/16/91	Durham <i>et al.</i>	606	062	
	AT	4,973,332	11/27/90	Kummer	606	065	
	AU	4,791,918	12/20/88	Von Hasselbach	128	924	
	AV	4,776,330	10/11/88	Chapman <i>et al.</i>	128	092	
	AW	4,697,585	10/6/87	Williams	128	092	
	AX	4,657,001	4/14/87	Fixel	128	092	
	AY	4,622,959	11/18/86	Marcus	128	092	
	AZ	4,621,628	11/11/86	Brudermann	128	092	
	BA	4,612,920	9/23/86	Lower	128	092	
	BB	4,494,535	1/22/85	Haig	128	092	
	BC	4,438,762	3/27/84	Kyle	128	092	
	BD	4,274,163	6/23/81	Malcom <i>et al.</i>	3	1.91	
	BE	4,172,452	10/30/79	Forte <i>et al.</i>	128	092	
	BF	4,103,683	8/1/78	Neufeld	128	092	

	BG	4,095,591	6/20/78	Graham, Jr. et al.	128	092	
	BH	3,433,220	3/18/69	Zickel	128	092	
	BI	3,255,747	6/14/66	Cochran et al.	128	092	
	BJ	2,834,342	5/13/58	Yost	128	092	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLAS S	TRANSLATION	
							YES	NO
	BK	JP 2000-51224	2/22/2000	Japan	A61B	17/58	ABS	
	BL	JP 2000-51225	2/22/2000	Japan	A61B	17/58	ABS	
	BM	EP 0 968 685 A2	6/24/99	Europe	A61B	17/74	ABS	
	BN	EP 0 919 200 A1	6/2/99	Europe	A61B	17/76	ABS	
	BO	JP 11-137566	5/25/99	Japan	A61B	17/58	ABS	
	BP	EP 0 853 923 A1	7/22/98	Europe	A61B	17/74		
	BQ	WO 98/30164	7/16/98	PCT	A61B	17/74		
	BR	EP 0 845 245 A2	6/3/98	Europe	A61B	17/72		
	BS	WO 98/41161	9/24/98	PCT	A61B	17/72		
	BT	EP 0 838 199 A1	4/29/98	Europe	A61B	17/74		
	BU	WO 98/05263	2/12/98	PCT	A61B	17/74		
	BV	JP 9-66061	3/11/97	Japan	A61B	17/58	ABS	
	BW	JP 9-66060	3/11/97	Japan	A61B	17/58	ABS	
	BX	JP 9-66059	3/11/97	Japan	A61B	17/58	ABS	
	BY	WO 97/37606	10/16/97	PCT	A61B	17/72		
	BZ	WO 96/15737	5/30/96	PCT	A61F	02/36	ABS	
	CA	EP 0 471 418 A1	2/19/92	Europe	A61B	17/58		
	CB	EP 0 411 273 A1	2/6/91	Europe	A61B	17/56		
	CC	EP 0 321 170 B1	6/21/89	Europe	F25D	17/08	ABS	
	CD	EP 0 251 583 A2	1/7/88	Europe	A61B	17/58		

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Christoph Andreas ROTH *et al.* Confirmation No.: 3810  
Serial No.: 09/978,002 Art Unit: 3732  
Filed: October 17, 2001 Examiner: To be assigned  
For: BONE FIXATION SYSTEM Atty Docket No.: 8932-266-999

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby direct the Examiner's attention to the five (5) references listed on the attached revised form PTO-1449 entitled "List of References Cited by Applicant." Copies of these references are being submitted herewith.

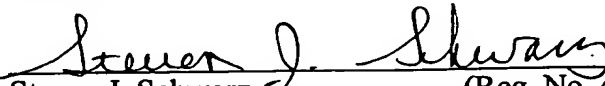
These references were cited in the International Search Report of a related PCT application that was mailed to Applicants on May 9, 2003.

It is respectfully requested that these references be made of record in this application by the Examiner's completion and return of the attached revised Form PTO-1449.

No fee is believed to be due for this submission, as this submission is being made before a first Office Action on the merits. Should any fees be required, however, please charge such fees to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date: June 13, 2003

  
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LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)	ATTY DOCKET NO. 8932-266-999	APPLICATION NO 09/978,002
	APPLICANT C. ROTH et al.	
	FILING DATE October 17, 2001	GROUP 3732

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A01	5,120,171	9/06/92	LASNER			
	A02						
	A03						
	A04						
	A05						
	A06						
	A07						
	A08						
	A09						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	A10	GB 2209947 A	6/1/89	Great Britain			X	
	A11	JP 2000342596	12/12/00	Japan			Abst	
	A12	JP 2000051224	2/22/00	Japan			Abst.	
	A13	WO 0067653	11/16/00	PCT			X	
	A14							

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	A15	
	A16	
	A17	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.